

**10/2/13**  
**Library Affairs Committee**  
**Minutes**

In attendance: Sara Hook, Soonhwan Lee, Richard Humphrey, Pat Wittberg, Luo Le, Angela Bruzzaniti, David Lewis, Simon Atkinson, Rick Ward,

1. Discussion of Open Access Policy:
  - David Lewis summarized the basic concept and plan regarding the university adopting an open access repository for scholarly works.
  - Model may be based on Harvard model and language modified for our institution. Faculty to give limited license to university to store, reproduce and distribute “scholarly works” openly and freely. University cannot use articles for-profit. Faculty retains the rights to the articles/scholarly works.
  - Faculty will have opt-out option.
  - Proposed model is compliant with intellectual property concerns.
  - Library will take on administration of open access repository through scholar works, including requests to faculty to submit pre-print versions of their articles and copyright related issues. It might be possible to link submission to annual faculty reports.
  - It is anticipated that limited university/library funds will be necessary to implement, administer and monitor the proposed system - may require additional part-time student/staff employees.
  - Data storage capacity will not be an issue, including storage/access to large data sets.
  - Some concerns raised about general level of interest of faculty, given that response to “open access survey” (see below) has been poor.
  - Bloomington is also discussing the adoption of an open access repository policy. Adoption of a policy that has similar language by both campuses will be beneficial. Regularly communication with IUB Library Affairs Committee will help in developing a policy with common language.

>Library faculty members in attendance unanimously voted in favor of developing an open access policy for Indianapolis. Motion will be brought to IFC EC for discussion.

2. Discussion regarding eText.
  - Adoption by faculty to the use of eTexts: David Lewis reported that 240 sections currently using eText which equates to approximately 11,000 student enrollments so not a high % of total students involved to date.
  - All big 4 publishers have agreed to provide eTexts in whole or part.
  - Lead up time for faculty to have etexts added to enrollment for their course: Mid October for spring semester, mid-march for Fall semester (plus a mechanism exist for faculty late in the process)
  - Students will have access to eText for the entire time they are students at the university. Once they leave, they will no longer have access.
  - eText adds small additional costs to students above at enrollment (\$15 add-on credit plus \$5 software platform fee). 5% increase in course fee. Overall, cost savings to students, so financially attractive to students
  - Option to print and download to hard drive some material available in eTexts.
  - eTexts accessible through oncourse, see [www.etexts.iu.edu](http://www.etexts.iu.edu)
  - Print on demand will be available to students, which would still result in cost savings over hard-copy textbooks.
  - Library does not hold a hardcopy of textbook, although this could be revisited if necessary.

>Additional discussion regarding current feedback from faculty and students is necessary to assess.  
>David Lewis will provide additional details via email and/or at the next meeting.

3. Library Survey of Faculty regarding use of Open Access Journals and other online publishing
  - Limited survey responses after 2 faculty-wide email announcements (May and August). Less than 10% of faculty has responded. Reason for low response rate may be timing of announcements, distribution/advertisement to faculty, length of survey (20 min), lack of general interest by faculty on the topic of open access, other.

> David Lewis will discuss with survey writers if length can be shortened.

> Library Affairs committee will then send request to EC to redistribute survey to faculty.

4. Pilot Project to support author fees for publication in Open Access Journals
  - Implemented and advertised in August.
  - \$47000 in funds available. Several schools involved/contributed funds. Medicine not included in pilot project. Application and instructions available on UL website.
  - Progress report pending
5. Implications of the changes in academic publishing for student/faculty research and for tenure and promotion  
Discussion delayed to next meeting

Meeting ended 11.00 am

Next meeting date: Nov 13, Wed, 10.00 -11.00 UL 1116 Conference Room

**11/13/13**  
**Library Affairs Committee**  
**Minutes**

In attendance: Sara Hook, Richard Humphrey, Angela Bruzzaniti, David Lewis, Simon Atkinson

The committee continued discussions related to open access and strategies to develop an open access policy document. Globally, close to 200 universities have registered institutional mandates supporting open access. These are available at <http://roarmap.eprints.org>. To a large extent, the language for these policies was adapted from the Harvard-style model language document and/or University of California multi-institutional mandate, which was adopted on 7/24/13. Given the established framework of open access policies developed at other universities, the decision was made to use the Harvard/UC language as a starting point for an IU policy. David Lewis agreed to take on the task of creating a draft document to be distributed back to the Library Committee for comments/changes/suggestions. It is anticipated this draft will be available prior to the next Library Committee meeting on Dec 11<sup>th</sup>.

The question of whether an open access policy would be in compliance with intellectual property issues was raised by Richard Humphrey. David Lewis and Simon Atkinson responded that the proposed model will be in compliance with IP since faculty will retain the rights to the scholarly work and faculty members grant the university rights to use those articles for distribution provided they will not be sold for profit. In the proposed model, faculty will retain right to opt-out of the process.

A time line for submission of a formal draft document to the IFC was discussed and February/March 2014 is anticipated.

At 10.30 am, Angela Bruzzaniti briefly discussed a recent phone conversation with **Jason Baird Jackson**, Director, Mathers Museum of World Cultures and current Chair of the IU Bloomington Library Committee. This introduction was followed by a Skype conversation with **Jason Baird Jackson**. Jason provided details of where his committee stands regarding open access policy. The ILC was informed that the BLC has not yet reached a unanimous decision to proceed with developing an open access language and that discussions are ongoing. The committee discussed scheduling a combined ILC and BLC "virtual" meeting in Dec/Jan to further discuss open access. If BLC were to move ahead with an open access policy, the two committees would keep discussion and dialogue open with the possibility of creating a single or complementary open access policy document(s).

At the conclusion of the Skype call, the ILC discussed that we will move ahead with our draft document and go-it-alone if necessary if the BLC decides not to pursue open access at this time. If an independent policy language document is developed in the future by the BFC, then the IFC felt the two separate documents could be melded at a later time if necessary.

An update was provided by David Lewis regarding the Library Survey of Faculty that was distributed to faculty in May and August. Less than 10% of faculty responded to the survey request.

David will provide additional details regarding the possibility of shortening the survey and then asking IFC to redistribute to faculty for a third time.

The meeting was adjourned at 11.00 am. The next meeting will take place on Dec 11<sup>th</sup> at 10.00 am-11.00 am in the University Library 2115G.

**12/11/13**  
**Library Affairs Committee**  
**Minutes**

In attendance: Janet Fulton, Marilyn Irwin, Soonhway Lee, Angela Bruzzaniti, Patricia Wittberg, Simon Atkinson, Kristi Palmer, Jere Odel.

Approval of the minutes: 10-2-13 and 11-13-13

Jerry Odel, and Kristi Palmer (UL delegates for D. Lewis), presented a preliminary report on results from the IUPUI Faculty Survey related to **“Open Access Repositories, Policies and Mandates”** (see attachment). The survey was distributed to faculty on two occasions in 2013. Although faculty response was not high (337 total responses), the survey provided some data regarding faculty knowledge about open access, open access practices and general interest in open access (OA). The survey indicated a general lack of knowledge by faculty regarding open access policies and implications. Additional concerns (paraphrased) included perceived loss of academic freedom, slippery slope and additional time commitment from faculty. Faculty respondents (52%) were also “unsure” if IUPUI should implement an open access policy. A decision was made by the Library not to redistribute the survey to faculty at this time.

Jere Odel and David Lewis (via email) also provided feedback from the Dean’s meeting where the same preliminary report was presented. The Dean’s suggested it will be important to ensure faculty members have a good understanding of the open access policy and its implications. It was suggested that conversations should be had at the school level of faculty governance. UL also provided copies of a draft document for “FAQ” regarding OA as well as a separate document “notes concerning specific language” (see attachment). Clear definition of terms such as “embargo” could be included in information provided to faculty. These additional documents will be kept separate from the final policy document. The Library committee will discuss FAQ’s in more detail at the next meeting.

During discussions, it was also suggested that faculty should be provided with as much information as possible to become informed about open access. This will likely help faculty buy-in to OA. Perhaps providing faculty with some positive metrics of the benefits of OA may help faculty buy in. For example, providing some numbers for how OA has led to increased citation frequency. Information could be provided to departments and/or schools and at the faculty governance level (e.g. faculty affairs, research affairs and school library committees). It is possible that some schools may decide not to adopt OA. If so, this could be dealt with school-based policies, similar to the Harvard University model. Overall preference, however, would be for OA to be adopted University-wide or campus-wide.

Jan Fulton also suggested that if IUPUI is to adopt an OA policy, then a clear implementation plan should be in place; clear roll out plan, time lines, milestones, school targeted. The committee agreed that an advisory committee should be appointed to lead the implementation plan and several individuals selected to champion the OA cause to faculty. The committee felt that it would be appropriate for the IFC-EC to appoint the advisory committee and will request this when OA is formally presented. The library committee could provide the IFC-EC with a list of possible individuals for the advisory committee.

Angela Bruzzaniti provided brief update of the status of OA within the Bloomington Library Committee. Based on an email exchange from Jason Baird Jackson (chair of BLC), it appears that BLC committee is not presently of one mind on OA issues in general or regarding the merits of a specific policy. The Indianapolis Library committee will continue in its efforts to develop and implement an OA policy. Every effort will be made to keep BLC regularly informed of the progress of this committee in the hopes BLC may come on board at a later date.

Due do time limitations, discussion of the “draft open access policy” document was postponed until the next meeting. The next meeting will take place in the 2<sup>nd</sup> week of Jan, with the aim of having an approved “draft open access policy” document to submit to the IFC-EC before their next meeting.

The meeting was adjourned at 11.12 am.

**1/13/14**  
**Library Affairs Committee**  
**Minutes**

In attendance: Janet Fulton, Sara Hook, Lynn Jettpace, Le Luo, Soonhwan Lee, Patricia Wittberg, Angela Bruzzaniti,  
Library Representative: Jere Odel.

Committee discussed at length specific language within the draft Open Access (OA) document, drafted by David Lewis and based on the Harvard/UC model policies. This draft document was presented to the Library Committee at the December meeting.

Questions from the committee were addressed by Jere Odell, with subsequent clarifications provided by email by Jennifer Westerhaus Adams. Specifically, Jennifer addressed the need for specific language within the "Scope and Waiver (opt-out)" section. Please see comments in attached document.

Overall, minor wording changes were made to the draft OA document based on committee discussion. These can be found in the attached document- tracked changes.

The committee reiterated the need for faculty buy-in and the need for a clear implementation plan. It was previously discussed that the Library Committee will forward a request to the IFC-EC to select an advisory committee to help define the implementation plan and knowledge dissemination to faculty. The committee again agreed to proceed with this route.

Meeting was adjourned at noon.

**2/19/14**  
**Library Affairs Committee**  
**Minutes**

In attendance: Sara Anne Hook; Soonhway Lee, Angela Bruzzaniti, Jere Odel, David Lewis

The meeting was largely occupied with discussion of the University Library annual budget and planning. David Lewis presented the attached documents regarding budget allocation for FY14.

David also provided a summary of the budget hearing presentation in which he was asked to identify strategic initiatives for the University Library, and plans for aligning these strategies with the Campus Strategic plan (see attachment).

Brief status report by the Chair regarding OA policy:

- Cover letter, draft OA policy, and supplementary documents submitted to IFC-EC. Discussion of OA added to the IFC-EC agenda for 2/27/14.
- Email from Jason Jackson Baird (Blooming Library Committee Chair) was not favorable. It is very unlikely that an OA policy will move forward from the Bloomington committee this academic year. The committee members are not in favor of moving ahead with an OA policy at this time.

Meeting was adjourned at 12.50.

Next Committee meetings:

3/24/14 10.30-11.30 Room 2115E

4/24/14 1.30-2.30 Room 2115E



3/24/14

Library Affairs Committee

Minutes

In attendance:

Richard Humphrey, Lynn Jettpace, Pat Wittberg, Soonhwan Lee, Marilyn Irwin, Angela Bruzzaniti

David Lewis, Simon Atkinson, Kristi Palmer, Jere Odell.

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David Lewis presented update on University Library budget and proposed expenditure changes to subscriptions and article requests.

Angela Bruzzaniti provided brief summary of feedback and comments from IFC-EC committee regarding open access policy documents.

Committee discussed and introduced changes to language in the current documents and discussed adding more explanatory language in the FAQ and/or notes on specific language” documents, including adding a potential policy implementation date. Jere Odell and David Lewis will provide comments. Document changes will be forwarded to Jennifer Westerhaus Adams, for additional insight into legal or copyright-related matters.

Meeting adjourned at 11.40 am

Next meeting date: 4/24 Room 2115E Resv 53922

4/24/14

Library Affairs Committee

Minutes

In attendance:

Pat Wittberg, Soonhwan Lee, Sara Hook, Jan Fulton, Angela Bruzzaniti

David Lewis, Jere Odell.

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Committee agreed to consolidate the open access documents into one package, with brief introductory comments. The goal of this would be to facilitate information dissemination to Faculty members.

A request for the EC to appoint an OA Advisory Committee to help with outreach and implantation was made when OA was presented to the EC (Feb, 2014). The faculty/admin composition of this committee is still pending.

Jere Odell presented summary of ideas for faculty OA outreach and implementation steps and strategies. The inclusion of a "flow-chart" for article submission to journals and OA repository may be considered.

Action plan: Once approved by the LC and cleared by legal counsel (Jennifer Westerhaus Adams), the OA package will be resubmitted to the EC for approval to move this to the Faculty council. A first read at the FC committee meetings will not happen until the start of the new academic year in the Fall. In the meantime, David Lewis will discuss with Deans from other schools, Jere Lewis will make himself available to meet with Departments and Schools upon request. LC members are encouraged to begin discussions within their own Departments and Schools.

Meeting start: 1:45 pm

Meeting adjourned: 2:30 pm

Next Meeting Tentative Date: May 9<sup>th</sup> (we will only meet if necessary-I will send out email notice closer to the time).