

ANS05D M261 07/31/93 11:34:40 V309  
 CHRISTIAN A. JOHNSON ENDEAVOR FOUNDATION

1949123100510

13-6147952

**Return of Private Foundation**

Form **990-PF**

or Section 4947(a)(1) Nonexempt Charitable Trust  
 Treated as a Private Foundation

OMB No. 1545-0047

**1993**

Department of the Treasury  
 Internal Revenue Service

Note: The organization may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 1993, or tax year beginning 10/01/93, 1993, and ending 09/30/94, 19

Use the IRS label. **CHRISTIAN A. JOHNSON ENDEAVOR FOUNDATION**  
 Otherwise, please print or type. **1060 PARK AVENUE**  
 See Specific Instructions. **NEW YORK, NY 10128**

A Employer identification number **13-6147952**  
 B State registration number (see instruction F)

H Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

I Fair market value of assets at end of year (from Part II, col. (a), line 10) **110,254,089**

J Accounting method:  Cash  Accrual  
 Other (specify) **MODIFIED**  
 (Part I column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (see sch.)	50,000.			
2 Contributions from split-interest trusts				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	2,528,954.	2,528,954.		
5a Gross rents				
b Net rental income or (loss)				
6 Net gain or (loss) from sale of assets not at lines 10	6,199,452.	6,199,452.		
7 Capital gain net income (from Part IV, line 2)				
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less: Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total (add lines 1 through 11)	8,778,409.	8,728,406.		95,000.
13 Compensation of officers, directors, trustees, etc.	100,000.	5,000.		282,023.
14 Other employee salaries and wages	283,440.	1,417.		59,765.
15 Pension plans, employee benefits	60,791.	1,026.		2,422.
16a Legal fees (attach schedule), STMT. 3.	3,631.	1,209.		12,000.
b Accounting fees (attach schedule), STMT. 4.	12,000.			54,113.
c Other professional fees (attach schedule), STMT. 5.	195,168.	141,055.		
17 Interest				22,958.
18 Depreciation (attach schedule), STMT. 6.				
19 Occupancy				436,544.
20 Travel, conferences, and meetings	436,544.			12,451.
21 Printing and publications	10,598.			139,391.
22 Total operating and administrative expenses (add lines 13 through 23)	1,347,739.	152,925.		1,116,667.
23 Contributions, gifts, grants paid	4,746,915.			4,746,915.
24 Total expenses and disbursements (add lines 19 and 23)	6,094,654.	152,925.		5,863,582.
25 Excess of revenue over expenses and disbursements (line 12 minus line 24)	2,683,752.			
26 Net investment income (see instructions)		8,575,481.		
27 Adjusted net income (if negative, enter "-0-")				

For Preparation Reduction Act Notice, see page 1 of the instructions.  
 Director, Information Service  
 Holtzville, NY 11731  
 Form 990-PF (1993)

RECEIVED  
 10/28/93  
 10/28/93

**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

	Beginning of year		End of year	
	(a) Book Value	(b) Book Value	(c) Fair Market Value	
<b>Assets</b>				
1 Cash - non-interest-bearing	32,063	38,977	38,977	
2 Savings and temporary cash investments	67,401	316,083	316,083	
3 Accounts receivable				
Less: allowance for doubtful accounts				
4 Pledges receivable				
Less: allowance for doubtful accounts				
5 Grants receivable				
6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
7 Other notes and loans receivable (attach schedule)				
Less: allowance for doubtful accounts				
8 Inventories for sale or use				
9 Prepaid expenses and deferred charges				
10a Investments - U.S. and state government obligations (attach schedule)	5,969,661	2,368,188	2,414,200	
b Investments - corporate stock (attach schedule) STMT, 9	44,680,283	50,757,044	100,846,800	
c Investments - corporate bonds (attach schedule) STMT, 10	5,278,651	5,331,519	5,106,700	
11 Investments - land, buildings, and equipment (attach schedule) (see instructions) (attach schedule)				
Less: accumulated depreciation				
12 Investments - mortgage loans				
13 Investments - other (attach schedule)				
14 Land, buildings, and equipment (attach schedule) STMT 11				
Less: accumulated depreciation (attach schedule)				
15 Other assets (describe)				
<b>16 Total assets (to be completed by all filers - see instructions)</b>	<b>57,659,388</b>	<b>60,343,140</b>	<b>110,254,089</b>	
<b>Liabilities</b>				
17 Accounts payable and accrued expenses				
18 Grants payable				
19 Support and revenue designated for future periods (attach schedule)				
20 Loans from officers, directors, trustees, and other disqualified persons				
21 Mortgages and other notes payable (attach schedule)				
22 Other liabilities (describe)				
<b>23 Total liabilities (add lines 17 through 22)</b>				
<b>Net Assets or Fund Balances</b>				
24a Current unrestricted fund				
b Current restricted fund				
25 Land, buildings, and equipment fund				
26 Endowment fund				
27 Other funds (describe)				
Organizations not using fund accounting, check here <input checked="" type="checkbox"/> and complete lines 28 through 32.				
28 Capital stock or trust principal	12,566,317	12,566,317		
29 Paid-in capital or capital surplus				
30 Retained earnings or accumulated income	45,093,071	47,776,823		
31 Total net assets or fund balances (see instructions)	57,659,388	60,343,140		
<b>32 Total liabilities and net assets/fund balances (see instructions)</b>	<b>57,659,388</b>	<b>60,343,140</b>		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 31 (must agree with end-of-year figure reported on prior year's return)	1	57,659,388
2 Enter amount from Part I, line 27a	2	2,683,752
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	60,343,140
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 31	6	60,343,140



**Part VI Excess Tax on Investment Income (Section 4940(a), 4940(b), 4940(c), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling letter: _____ (attach copy of ruling letter if necessary-see instructions)		
b	Domestic organizations that meet the section 4940(c) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	85,755.00
c	All other domestic organizations enter 2% of line 27b. Exempt foreign organizations enter 4% of line 27b		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter "-0-").	2	
3	Add lines 1 and 2	3	85,755.
4	Tax under subtitle A (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter "-0-").	4	NONE
5	Tax on investment income (line 3 minus line 4 (but not less than "-0-"))	5	85,755.00
6	Credits/Payments:		
a	1993 estimated tax payments and 1992 overpayment credited to 1993	6a	90,706.
b	Exempt foreign organizations - tax withheld at source	6b	NONE
c	Tax paid with application for extension of time to file (Form 2758)	6c	NONE
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments (add lines 6a through d)	7	90,706.
8	Enter any PENALTY for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	<b>TAX DUE.</b> If the total of lines 5 and 8 is more than line 7, enter <b>AMOUNT OWED</b>	9	
10	<b>OVERPAYMENT.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>AMOUNT OVERPAID</b>	10	4,951.00
11	Enter the amount of line 10 you want Credited to 1994 estimated tax <input type="checkbox"/> 4,951. Refunded <input type="checkbox"/>	11	

**Part VII Statements Regarding Activities**

	Yes	No
1a		X
1b		X
1c	N/A	
2		X
3		X
4a		X
4b	N/A	
5		X
6	X	
7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> <u>NEW YORK</u>	
b	X	
9		X
10	Self-dealing (section 4941):	
a	During the year did the organization (either directly or indirectly):	
(1)		X
(2)		X
(3)		X
(4)	X	
(5)		X
(6)		X

Page 4



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**Part VIII Information About Officers, Directors, Trustees, etc. (continued)**

**2 Compensation of five highest paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$30,000	(b) Title and average hours per week devoted to position	(c) Contributions to employee benefit plans and deferred compensation	(d) Expense account, other allowances	(e) Compensation
NICHOLAS FARNHAM 1060 PARK AVENUE N.Y., N.Y.	PRO. DIR. 40 HRS/WK	7,385.	NONE	165,000.
GAIL DOSCHER 1060 PARK AVENUE N.Y., N.Y.	EXEC. SEC. 40 HRS/WK	2,152.	NONE	43,746.
KATHLEEN ALLEN 1060 PARK AVENUE N.Y., N.Y.	PROG. SECR. 40 HRS/WK		NONE	41,480.
JEAN DEVITO 1060 PARK AVENUE N.Y., N.Y.	ASST. SECR. 40 HRS/WK	1,477.	NONE	34,817.

Total number of other employees paid over \$30,000 ..... ▶ NONE

**3 Five highest paid persons for professional services - (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$30,000	(b) Type of service	(c) Compensation
U.S. TRUST CO. OF NEW YORK 114 W. 47TH ST. N.Y., N.Y.	INVESTMENT ADVISORY	141,055.

Total number of others receiving over \$30,000 for professional services ..... ▶ NONE

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 SEE ATTACHED STATEMENT	748,015.
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe any program-related investments made by the foundation during the tax year.	Amount
1 NOT APPLICABLE	
2	
3	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	104,496,128.
b	Average of monthly cash balances	1b	636,895.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	Total (add lines 1a, b, and c)	1d	105,133,023.
e	Reduction claimed for blockage or other factors (attach detailed explanation) ▶	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Line 1d minus line 2	3	105,133,023.
4	Cash deemed held for charitable activities - Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,576,995.
5	Net value of noncharitable-use assets - Line 3 minus line 4 (Enter in Part V, line 4.)	5	103,556,028.
6	Minimum investment return (Enter 5% of line 5.)	6	5,177,801.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	5,177,801.
2a	Tax on investment income for 1993 from Part VI, line 5	2a	86,765.
b	Income tax under subtitle A, for 1993	2b	
c	Line 2a plus line 2b	2c	86,765.
3	Distributable amount before adjustments (line 1 minus line 2c)	3	5,092,046.
4a	Recoveries of amounts treated as qualifying distributions	4a	NONE
b	Income distributions from section 4947(a)(2) trusts	4b	
c	Line 4a plus line 4b	4c	NONE
5	Line 3 plus line 4c	5	5,092,046.
6	Deduction from distributable amount (see instructions)	6	NONE
7	Distributable amount as adjusted (line 5 minus line 6). (Also enter in Part XIII, line 1.)	7	5,092,046.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	5,863,582.
b	Program-related investments - total of lines 1-3 of Part IX-B	1b	NONE
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions (add lines 1a through 3b). Enter in Part V, line 8 and Part XIII, line 4.)	4	5,863,582.
5	Organizations that qualify under section 4940(a) for the reduced rate of tax on net investment income - enter 1% of Part I, line 27a (see instructions)	5	86,765.
6	Adjusted qualifying distributions (line 4 minus line 5)	6	5,777,827.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(a) reduction of tax in those years.

Part XIII Undistributed income (see instructions)

	(a) Corpus	(b) Years prior to 1992	(c) 1992	(d) 1993
1 Distributable amount for 1993 from Part XI, line 7				5,092,046
2 Undistributed income, if any, as of the end of 1992:				
a Enter amount for 1992 only			1,101,317	
b Total for prior years:		NONE		
3 Excess distributions carryover, if any, to 1993:				
a From 1988	NONE			
b From 1989	NONE			
c From 1990	NONE			
d From 1991	NONE			
e From 1992	NONE			
f Total of lines 3a through e	NONE			
4 Qualifying distributions for 1993 from Part XII, line 4: 5,863,582				
a Applied to 1992, but not more than line 2a			1,101,317	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	50,000			
d Applied to 1993 distributable amount				4,712,265
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 1993 (If an amount appears in column (a), the same amount must be shown in column (b).)	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	50,000			
b Prior years' undistributed income (line 2b minus line 4b)		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b. Taxable amount - see instructions		NONE		
e Undistributed income for 1992 (line 2c minus line 4a). Taxable amount - see instructions				
f Undistributed income for 1993 (line 1 minus lines 4d and 5). This amount must be distributed in 1994				379,781
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(E) or 4942(a)(3) (see instructions)	50,000			
8 Excess distributions carryover from 1988 not applied on line 5 or line 7 (see instructions)	NONE			
9 Excess distributions carryover to 1994 (line 8e minus lines 7 and 8)				
10 Analysis of line 9:				
a Excess from 1988	NONE			
b Excess from 1990	NONE			
c Excess from 1991	NONE			
d Excess from 1992	NONE			
e Excess from 1993	NONE			



**Part XIV Private Operating Foundations** (see instructions and Part VII, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 1993, enter the date of the ruling . . . . .

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5).

	Tax year		Prior 3 years		
	(a) 1993	(b) 1992	(c) 1991	(d) 1990	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for 1993 and 1992; previously Part IX . . . . .					
b 80% of line 2a . . . . .					
c Qualifying distributions from Part XII, line 4 for 1991 through 1993; previously Part XIII, line 8 . . . . .					
d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
e Qualifying distributions made directly for active conduct of exempt activities (line 2c minus line 2d) . . . . .					
3 Complete 3a, b, or c for the alternative test rolled upon:					
a "Assets" alternative test - enter:	NOT APPLICABLE FOR THIS RETURN				
(1) Value of all assets . . . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B) . . . . .					
b "Endowment" alternative test - Enter 2/3 of minimum investment return shown in Part X, line 6, for 1991 thru 1993; previously Part IX, line 8 . . . . .					
c "Support" other test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities from Section 512(c)(13), or royalties) . . . . .					
(2) Support from general public and 5 or more exempt organizations (see instructions) . . . . .					
(3) Largest amount of support from an exempt organization . . . . .					
(4) Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the organization had \$5,000 or more in assets at any time during the year - see instr.)

**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)  
**NONE**

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.  
**NONE**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the organization only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the organization makes gifts, grants, etc., (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:  
**MRS. WILMOT KIDD, 1060 PARK AVENUE, NEW YORK, N.Y. 10128**

b The form in which applications should be submitted and information and materials they should include:  
**WRITTEN FORM-BRIEF DESCRIPTION OF PROJECT INITIALLY. IN DEPTH PROPOSAL IF REQUESTED INCLUDING FINANCIAL STATEMENTS**

c Any submission deadlines:  
**APPLICATIONS RECEIVED AFTER MARCH 30TH WILL NOT NORMALLY BE CONSIDERED UNTIL THE FOLLOWING OCTOBER 1ST.**

d Any restrictions or limitations on awards, such as by geographical area, charitable fields, kinds of institutions, or other factors:  
**SEE ATTACHED**

**Part XV Supplementary Information (continued)**

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year  SEE STATEMENT 13				
<b>Total</b> .....				<b>▶ 3a</b> 4,746,915.
b Approved for future payment  SEE STATEMENT 14				
<b>Total</b> .....				<b>▶ 3b</b> 4,560,424.





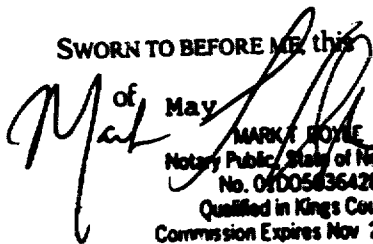
STATE OF NEW YORK }  
County of New York } ss:

THE ANNUAL RETURN OF THE CHRISTIAN A. JOHNSON ENDEAVOR FOUNDATION for the fiscal year ended September 30, 1994 is available at its principal office located at 1855 Park Avenue, New York, NY 10128 for inspection during regular business hours by any citizen who requests it within 180 days hereof. Principal Manager of the Foundation is JULIE KIDD.

Vincent J. DiTomasso, being duly sworn, says that he is the PRINCIPAL CLERK of the Publisher of the NEW YORK LAW JOURNAL, a Daily Newspaper; that the Advertisement hereto annexed has been published in the said NEW YORK LAW JOURNAL one time, on the 10th day of May 19 95 .



SWORN TO BEFORE ME this 10th day }  
of May 19 95 }

  
MARK BOYLE  
Notary Public, State of New York  
No. 01005636428  
Qualified in Kings County  
Commission Expires Nov 28, 1996

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**CHRISTIAN A. JOHNSON ENDEAVOR FOUNDATION  
ELECTION UNDER REG. SEC. 53.4942(a)-3(d)(2)  
9-30-94**

The Foundation hereby elects under regulation section 53.4942(a)-3(d)(2) to treat \$50,000 of qualifying distribution's for the taxable year ended September 30, 1994, as made out of corpus.

  
\_\_\_\_\_  
President

FORM 990-PF - PART IV

CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/89	Adj. basis as of 12/31/89	Excess of FMV over adj basis		Gain or (loss)	
		PROPERTY TYPE: SECURITIES					6,199,452.	
TOTAL GAIN(LOSS) .....							6,199,452.	

THE CHRISTIAN A. JOHNSON FOUNDATION  
 SCHEDULE OF GAINS AND LOSSES  
 390PF FOR YEAR ENDED 3/30/94

PROPERTY DESCRIPTION	NUMBER OF SHARES	DATE		GROSS SALES PRICE	COST BASIS	GAINS/(LOSSES)	
		ACQUIRED	SOLD			SHORT	LONG
INVESTMENT PROPERTIES ASSOC.	\$132,000.00	PRE-92	12/93	\$132,000.00	\$112,569.60		\$19,430.40
SOUTHWESTERN BELL 8.5%, 3/15/16	\$200,000.00	PRE-92	10/93	\$208,100.00	\$196,750.00		\$11,350.00
U.S. T-BOND, 9%, 2/15/94	\$1,000,000.00	PRE-92	2/94	\$1,000,000.00	\$1,004,062.50		(\$4,062.50)
U.S. T-NOTE, 11.75%, 11/15/93	\$1,000,000.00	PRE-92	11/93	\$1,000,000.00	\$993,750.00		\$6,250.00
U.S. T-NOTE, 8.25%, 11/15/94	\$1,000,000.00	PRE-92	VARIOUS	\$1,007,839.07	\$1,000,625.00		\$6,414.07
U.S. T-NOTE, 8.5%, 4/15/97	\$600,000.00	PRE-92	9/94	\$628,593.75	\$595,875.00		\$32,718.75
U.S. T-BILLS	\$1,000,000.00	PRE-92	10/94	\$992,160.00	\$992,160.00		\$0.00
BRISTOL MEYERS SQUIBB	\$1,000.00	PRE-92	2/94	\$215,632.73	\$264,600.00		(\$48,967.27)
BRITISH PETROLEUM	\$5,000.00	PRE-92	8/94	\$377,362.40	\$360,400.00		\$16,962.40
CENTRAL SECURITIES CORP.			12/93	\$6,892,207.29	\$0.00		\$6,892,207.29
CITIES SERVICE CO.			10/93	\$7,973.60	\$0.00		\$7,973.60
EASTMAN CHEMICAL CO.	\$1,000.00	1/94	3/94	\$40,283.64	\$38,115.04	\$2,168.60	
EASTMAN KODAK CO.	\$4,000.00	PRE-93	1/94	\$217,249.05	\$190,575.20		\$26,673.85
PHILIP MORRIS COS. INC.	\$6,000.00	PRE-93	3/94	\$220,132.64	\$189,800.60		\$30,332.04
TOTAL				\$12,130,734.23	\$5,939,282.34	\$2,168.60	\$6,197,280.29
TOTAL CAPITAL GAINS							\$6,199,452.00

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